

This Page Is Inserted by IFW Operations
and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

As rescanning documents *will not* correct images,
please do not report the images to the
Image Problems Mailbox.

THIS PAGE BLANK (USPTO)

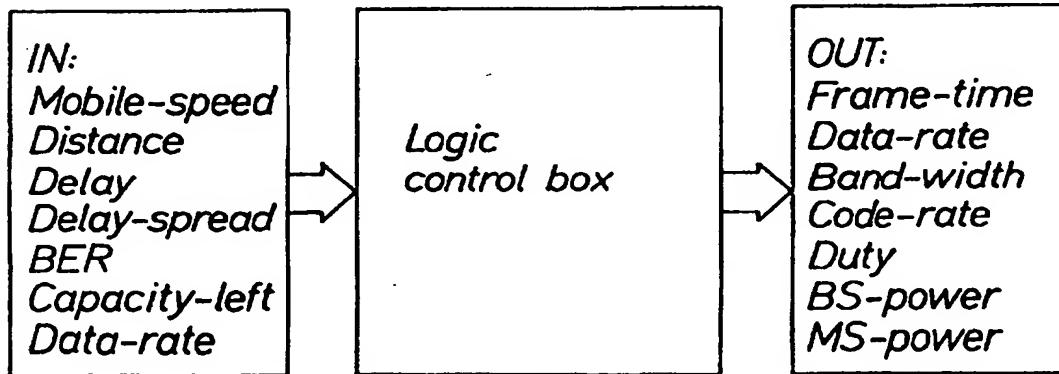




INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁶ : H04L 29/10, H04B 7/005		A1	(11) International Publication Number: WO 97/41675 (43) International Publication Date: 6 November 1997 (06.11.97)
<p>(21) International Application Number: PCT/SE97/00498</p> <p>(22) International Filing Date: 24 March 1997 (24.03.97)</p> <p>(30) Priority Data: 9601617-5 29 April 1996 (29.04.96) SE</p> <p>(71) Applicant (<i>for all designated States except US</i>): RADIO DESIGN INNOVATION AB [SE/SE]; P.O. Box 1223, S-164 28 Kista (SE).</p> <p>(72) Inventors; and</p> <p>(75) Inventors/Applicants (<i>for US only</i>): JOHNSON, Torbjörn [SE/SE]; Lidvägen 1, S-175 40 Järfälla (SE). MAGNUSSON, Bo., G. [SE/SE]; Burevägen 24 B, S-182 63 Djursholm (SE).</p> <p>(74) Agents: HOLMQVIST, Lars, J., H. et al.; Albihn Holmqvist AB, P.O. Box 4289, S-203 14 Malmö (SE).</p>		<p>(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, HU, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ARIPO patent (GH, KE, LS, MW, SD, SZ, UG), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).</p> <p>Published <i>With international search report.</i></p>	

(54) Title: ADAPTIVE AIR INTERFACE



(57) Abstract

The invention relates to an adaptive air interface suitable for use in a cellular telecommunication system. The air interface contains various kinds of information elements having operating parameters such as framing data, synchronization data, information data and error control data. The adaptive air interface in accordance with the invention includes means for collecting parameter requirements, means for relating the parameter requirements to an input space, means for transforming the input space to an output space, the output space defining the operating parameters of the air interface. Preferably, the transformation means includes a fuzzy logic controller.

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakhstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

5

TITLE OF INVENTION: ADAPTIVE AIR INTERFACE

10

FIELD OF THE INVENTION

The present invention relates to an adaptive air interface suitable for use in a cellular telecommunication system. The air interface is the hardware and software device 15 providing means for communicating over the free space with a device containing exactly the same air interface. An air interface contains various kinds of information elements to make this possible such as framing data, synchronization data, information data and error control data.

20

STATE OF THE ART

Fixed parameters in the air interface are prevailing today, meaning that all parameters have to be decided and specified before building the system in hardware and software. 25 This makes it inflexible and impossible to change parameters according to need, e.g. error control in severe environment or bandwidth and symbol rate, if necessary and according to need.

The present invention solves the above-mentioned problem 30 by providing an air interface that is adapted to various requirements in dependence of the current operating conditions and needs.

SUMMARY OF THE INVENTION

35 Thus, the adaptive air interface in accordance with the invention includes means for collecting parameter requirements, means for relating the parameter requirements to an input space, means for transforming the input space to an output space, the output space defining the operating parameters of the air interface.

The invention is set forth in detail in the accompanying claims.

BRIEF DESCRIPTION OF THE DRAWINGS

5 The invention is described in greater detail below with reference to the attached drawings, in which

Figure 1 is a block diagram of the transformation of the input space to the output space in accordance with the invention,

10 Figure 2 is a block diagram of the fuzzy logic control in accordance with the invention;

Figures 3A to F are diagrams of the input variable membership functions, and

15 Figures 4A to F are diagrams of the output variable membership functions.

DETAILED DESCRIPTION OF PREFERRED EMBODIMENTS

The air interface in accordance with the present invention is described with respect to a mobile telecommunication system below, but is equally applicable to other air interfaces as is appreciated by a person skilled in the art. The adaptive air interface contains a number of parameters and schemes that are controlled adaptively. Some of the properties of the adaptive air interface are

25

- TDMA or FH-TDMA (Frequency Hopping Time Division Multiple Access)
- Frame period 0.5-2 ms basic, $\times (1/8, 1/4, 1/2, 2, 4)$ adaptively determined
- 30 • Fast power control each burst
- Mobile station (MS) return channel response each burst
- Net data rates: 32, 64, 128, 144, 192, 384 kb/s
- Modulation schemes 2-16 PSK; QPSK, 8PSK/2AM, 16QAM, 64QAM
- FEC and/or Turbo coding
- 35 • Software controlled parameters, partly by fuzzy logic
- Modulation bandwidth supported 25, 50, 100 and 200 kHz
- Frequency hopping mode to improve fading resistance and to improve C/I performance
- Supports 64 kb/s PCM and G722 high quality 20-7000 Hz
- 40 voice coder, and primary rate 2B+D

- Adapts to 2-20 ms total processing delay requirements

5 Users are classified into 3 different categories
1. slow (stationary or walking) speed
2. medium (fast walking, slow car) speed
3. high (fast car) speed

The air interface according to the invention enables adaptivity according to situations and scenarios at hand. A 10 number of possibilities arises namely with the following adaptable parameters in what is called the output space

15

- Frame time
- Data rate
- Bandwidth
- Code rate
- Duty factor
- Mobile station (MS) power
- Base station (BS) power

20 The decisions behind the parameters are based on measurements, user needs, operator considerations and given resources using an optimizing algorithm. These parameter requirements are collected by the interface. The requirements are related to the input data or the input space consisting 25 of the following parameters

30

- Mobile speed
- Delay
- Distance
- Delay spread
- Capacity left in the mobile station
- BER (Bit Error Rate)

A number of examples are given and a device based on either finite state machine or a fuzzy logic solution is given to compute the parameters under various conditions.

35 In Figure 1 is shown a block diagram of the transformation of the input space to the output space. The input space is processed by a logic control box including rules for the transformation.

One approach to perform the transformation is by means 40 of a finite state machine. A finite state machine has a

finite number of states of the input variables which are transformed by logic rules to output variables. Below is an example of logic rules in a finite state machine.

IF

5 {Mobile speed=Stationary
AND
Delay < 6 ms
AND
Distance < 8 km
10 AND
Delay spread < 1 μ s
AND
Capacity left > 0.4
AND
15 BER < 10^{-6} }

THEN

{Frame time = 2ms
AND
20 Data rate = 144 kb/s
AND
Bandwidth = 100 kHz
AND
Code rate = 0.8
25 AND
Duty factor = 45%
AND
BS power = 22 dBm
AND
30 MS power = 6 dBm}

Another approach is to perform the transformation by means of a fuzzy logic controller. As opposed to the finite state machine, the fuzzy logic controller operates on a 35 number of membership functions μ . For each variable a number of membership functions are defined. The membership functions may assume various values ranging from zero to one and not just the discrete numbers zero and one.

In Figures 3A to F a number of input variable membership 40 functions are illustrated. As may be seen from the figures,

each input variable has a set of three membership functions taking on different values for a specific value on the x axis. The fuzzy logic controller contains rules for transforming the input membership functions to output membership functions. An example of output membership functions is illustrated in Figures 4A to F.

Thus, for each output variable a separate value of three output variable membership functions is obtained. The fuzzy logic controller also includes rules for "defuzzification" which gives a crisp value on the x axis for the set of membership function values.

Since the output value that is to be used in the air interface only can assume fixed values a further quantizing operation takes place to obtain the crisp output variable. The defuzzification operation and quantizing operation are illustrated in Figure 2.

The logic rules of the fuzzy logic controller, also called fuzzy rule base, are determined by detailed investigation of the particular problem at hand. There are commercially available computer-aided methods for defining, tuning and optimising the fuzzy rule base.

An example of fuzzy logic rules is given below.

```
IF  
25 {Mobile speed = Low  
AND  
Delay = Medium  
AND  
Distance = Medium  
30 AND  
Delay spread = Small  
AND  
Capacity left = Medium  
AND  
35 BER = Small}
```

THEN

```
{Frame-time = Low  
AND
```

Data rate = High
 AND
 Band width = Low
 AND
5 Code rate = High
 AND
 Duty = Low
 AND
 BS power = Medium
10 AND
 MS power = Medium

The quantizing operation is implemented according to the example below:

15 Let x be quantized according to $x = \{x_1, x_2, \dots, x_n\}$. Input xc implies x_i , where $x_i < xc < x_i + 1$.

As an example the output variables can assume the following values:

20 • Frame time = {0, 5, 1, 2, 4, 8} ms
 • Data rate = {16, 32, 64, 128, 144, 192,
 388} kb/s
 • Bandwidth = {25, 50, 100, 200} kHz
 • Code rate = {4/5, 3/4, 2/3, 1/2}
25 • Modulation scheme = {QPSK, 8PSK, 16QAM, 8CPM/TCM,
 64QAM, 32QAM/TCM, ...}
 • Mode = {Normal, FH}
 • BS power = {30, 28, 26, ...} dBm

30 Optimization of output variables, according to selected cost functions, is performed in order to e.g. maximize quantities like capacity and throughput, minimize power from the base station and the mobile station. Optimization is performed on the following variables:

35

- Power consumption
- Spectrum usage
- Data rate
- Quality measured in BER (bit error rate)

40 The cost function CF is based upon the following rule

$$CF = \frac{\text{performance} \times \text{quality}}{\text{cost}}$$

performance = data rate

quality = $-10 \times \log(\text{BER})$

5 where cost is expressed as an inverse of spectrum usage \times power consumption, i.e

$$\text{cost} \propto \frac{1}{\text{spectrum usage} \times \text{power consumption}}$$

1. An adaptive air interface in a telecommunication system, **characterised** by means for collecting parameter requirements; means for relating the parameter requirements to an input space; means for transforming the input space to an output space, the output space defining the operating parameters of the air interface.

2. An adaptive air interface according to claim 1, **characterised** in that the collecting means is capable of collecting information about measurements, user needs, operator considerations and given resources.

3. An adaptive air interface according to claim 1 or 2, **characterised** in that the transformation means includes a finite state machine.

4. An adaptive air interface according to claim 1 or 2, **characterised** in that the transformation means includes a fuzzy logic controller defining membership functions (μ) of the input space and the output space and fuzzy rules determining transformation between the input and output space after an additional defuzzification operation.

5. An adaptive air interface according to claim 4, **characterised** in that the fuzzy logic controller is capable of performing optimisation of the membership functions, the optimisation being based on a cost function (CF).

6. An adaptive air interface according to claim 5, **characterised** in that the cost function is based on the rule

$$CF = \frac{\text{performance} \times \text{quality}}{\text{cost}} \quad \text{and}$$

$$30 \text{ cost} \propto \frac{1}{\text{spectrum usage} \times \text{power consumption}}$$

7. An adaptive air interface according to any one of the preceding claims, **characterised** in that the input space includes the parameters: data rate, mobile speed, delay, distance from the base station, delay spread, capacity left 5 in the base station, and bit error rate (BER).

8. An adaptive air interface according to claim 7, **characterised** in that the output space includes the parameters: frame time, modulation scheme, bandwidth, code rate, duty factor, base station power and mobile station power.

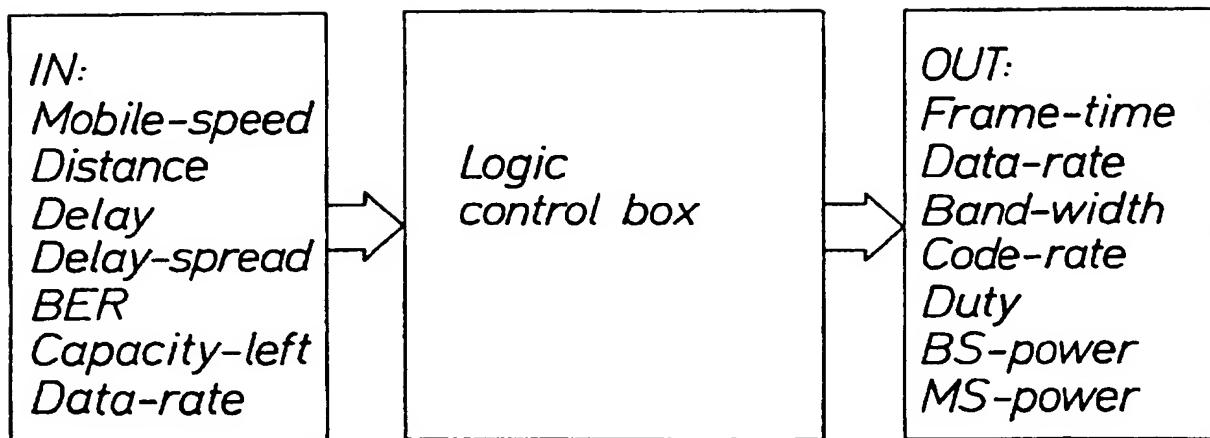


FIG. 1

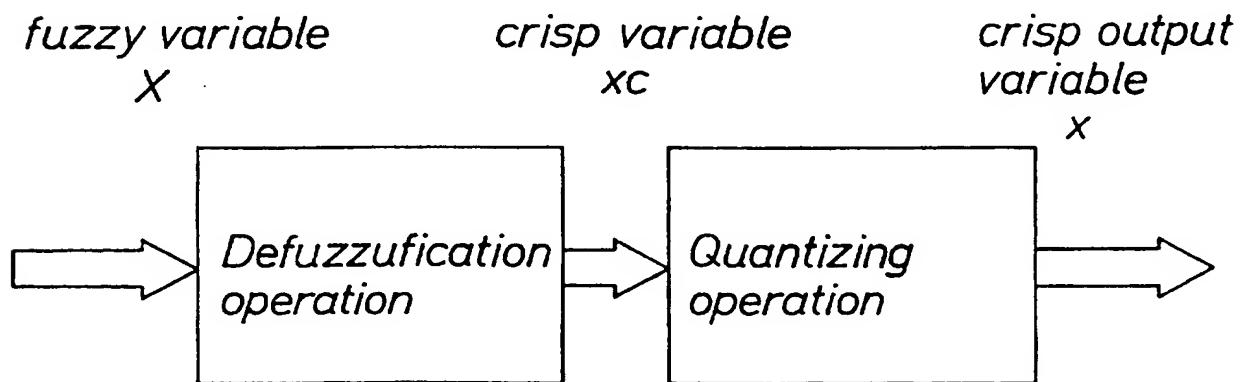


FIG. 2

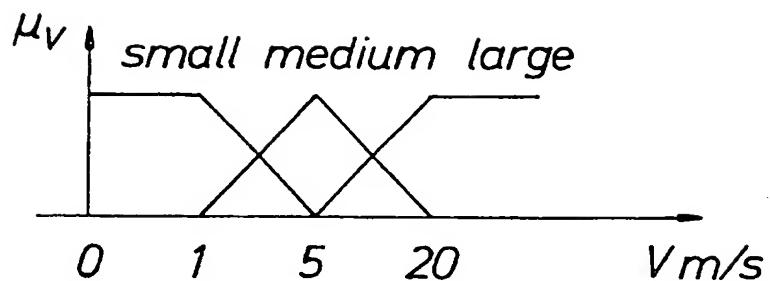


FIG. 3A

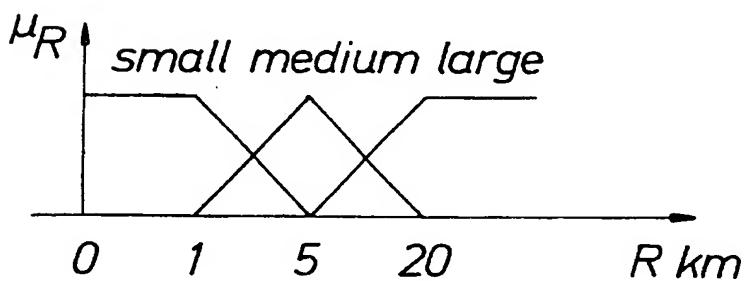


FIG. 3B

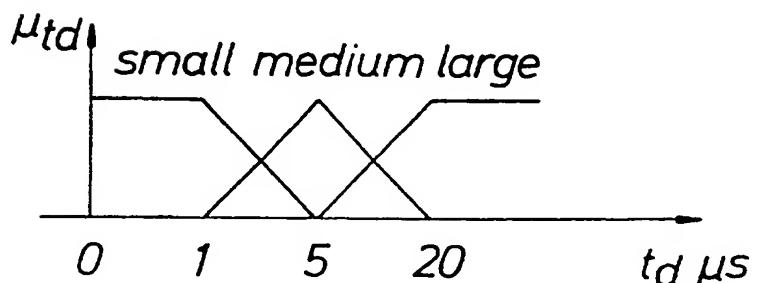


FIG. 3C

3/5

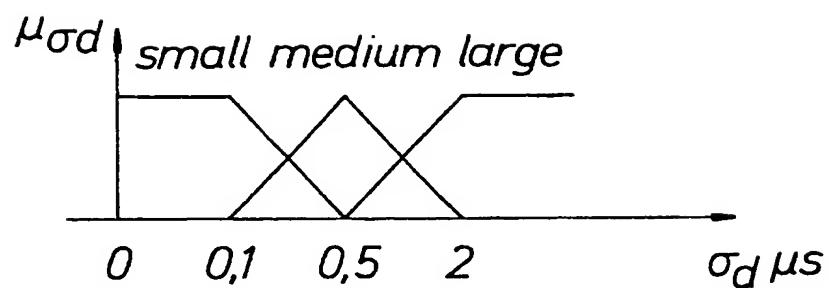


FIG. 3D

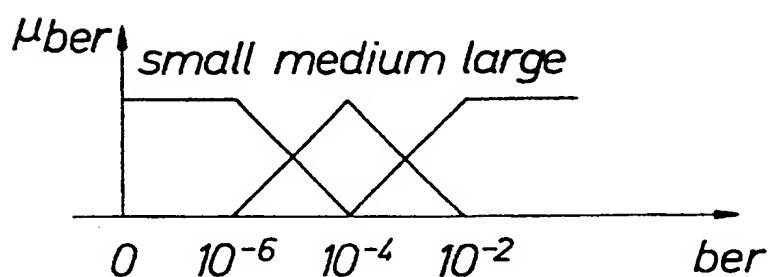


FIG. 3E

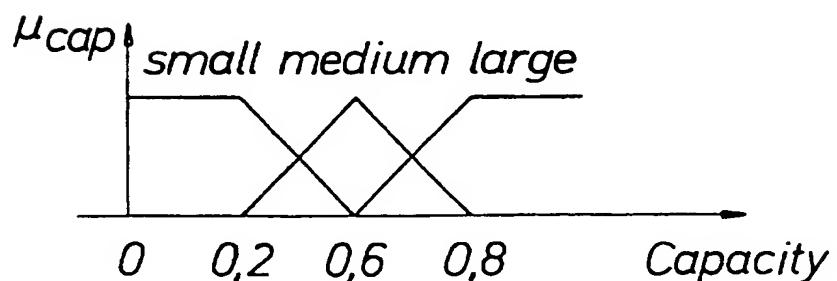


FIG. 3F

4/5

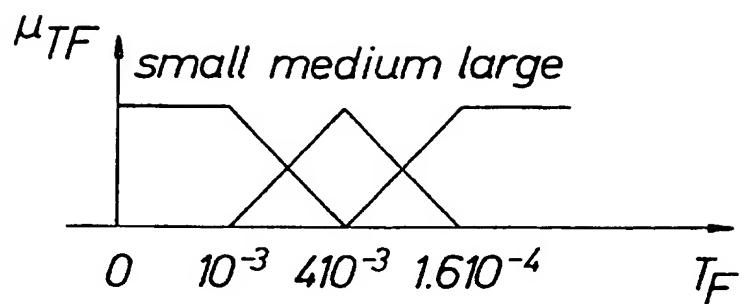


FIG. 4A

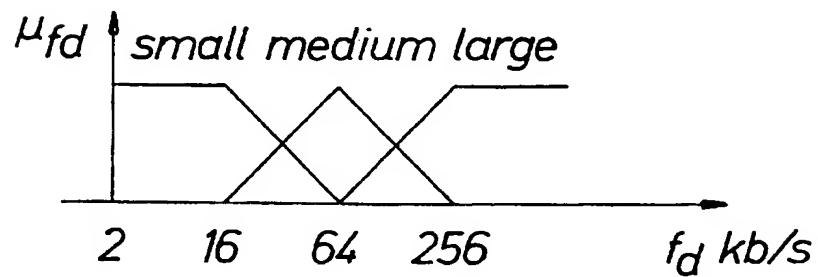


FIG. 4B

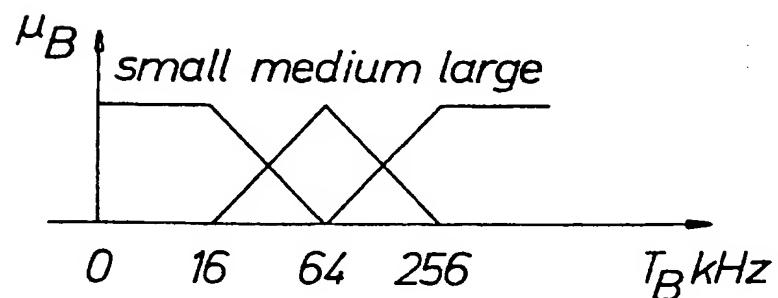


FIG. 4C

SUBSTITUTE SHEET (RULE 26)

5/5

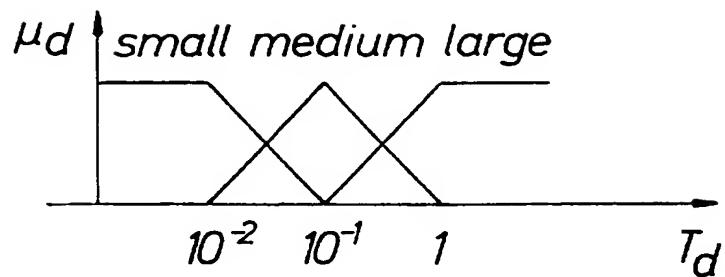


FIG. 4D

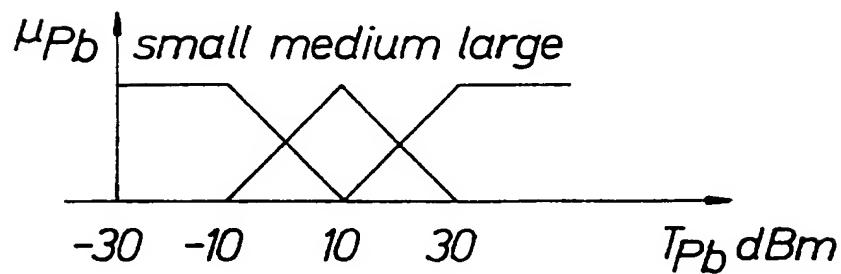


FIG. 4E

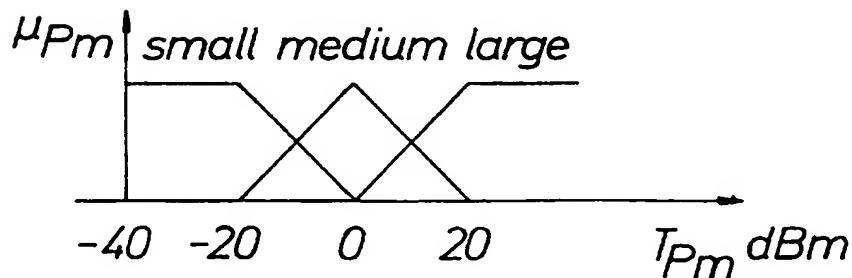


FIG. 4F

SUBSTITUTE SHEET (RULE 26)

1
INTERNATIONAL SEARCH REPORTInternational application No.
PCT/SE 97/00498

A. CLASSIFICATION OF SUBJECT MATTER

IPC6: H04L 29/10, H04B 7/005

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC6: H04L, H04B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

SE,DK,FI,NO classes as above

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5425051 A (RONALD L. MAHANY), 13 June 1995 (13.06.95), column 1, line 41 - line 48; column 2, line 17 - line 36; column 2, line 65 - line 68, abstract, column 16, line 55 - column 17, line 5, column 17, line 30 - column 18, line 22 --	1-3,7,8
A	EP 0548939 A2 (NEC CORPORATION), 30 June 1993 (30.06.93), abstract --	1-8
A	US 5446756 A (ALBERT J. MALLINCKRODT), 29 August 1995 (29.08.95), abstract --	1-8

 Further documents are listed in the continuation of Box C. See patent family annex.

- Special categories of cited documents
- *A* document defining the general state of the art which is not considered to be of particular relevance
- *E* earlier document but published on or after the international filing date
- *L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- *O* document referring to an oral disclosure, use, exhibition or other means
- *P* document published prior to the international filing date but later than the priority date claimed

- *T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- *X* document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- *Y* document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
- *&* document member of the same patent family

Date of the actual completion of the international search 4 August 1997	Date of mailing of the international search report 06 -08- 1997
Name and mailing address of the ISA/ Swedish Patent Office Box 5055, S-102 42 STOCKHOLM Facsimile No. + 46 8 666 02 86	Authorized officer Viktor Skoog Telephone No. + 46 8 782 25 00

INTERNATIONAL SEARCH REPORT

International application No.

PCT/SE 97/00498

C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 4777653 A (GEORGES BONNEROT ET AL.), 11 October 1988 (11.10.88), abstract -- -----	1-8

INTERNATIONAL SEARCH REPORT
Information on patent family members

01/07/97

International application No.	
PCT/SE 97/00498	

Patent document cited in search report	Publication date		Patent family member(s)	Publication date
US 5425051 A	13/06/95		AU 5590294 A CA 2148381 A WO 9410774 A	24/05/94 11/05/94 11/05/94
EP 0548939 A2	30/06/93		JP 5244056 A US 5386589 A	21/09/93 31/01/95
US 5446756 A	29/08/95		US 5612703 A US 5339330 A US 5073900 A	18/03/97 16/08/94 17/12/91
US 4777653 A	11/10/88		AU 6665486 A CA 1274281 A DE 3650308 D,T EP 0230691 A,B FR 2592256 A,B JP 7095713 B JP 62157427 A	25/06/87 18/09/90 16/11/95 05/08/87 26/06/87 11/10/95 13/07/87

THIS PAGE BLANK (USPTO)